## SIP ENROLLMENT FORM

Please read Product Labelling available on the Front Inside Cover Page and instructions before filling this form (all points marked \* are mandatory)



APPLICATION NO.

	nsor: Edelweiss Finand weiss Mutual Fund, 80																er: Ed	elweis	s Asse	et Mar	agemen	t Limite	ł
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	ARN -		ARN	-				INT	TERNAL (	CODE		IDE	NTIFIC	ATION	NO. (EUI	N)			01	NLY FOR I	DIRECT INVE	STMENT	
	*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker".  Upfront commission shall be paid directly by the investor to the AMFI registered fistributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.  Al/We, have invested in the below mentioned scheme of Edelweiss Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.  SIGNATURE (s)															or or							
	Signal o																						١
	SOLE / FIRST APPLICANT						SECOND APPLICANT										7	THIRD A	PPLIC	ANT			
	All sections to be filled in	rm If you	you are making a one time investment. For SIP investm							tment use the separate SIP Form. All columns marked * are mandatory.													
2	UNITHOLDER IN	FORMATION	N						Folio	No. (F	or Ex	isting	Uni	Holo	ders)								
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3	INVESTMENT DE	TAILS Ede	lweiss -					Schem	e					Plan				Op	tion/	Facility			ĺ
	(Default Plan/Option/Facility will be applied in case of no information, ambiguity or discrepancy) Dividend Reinvestment Facility is not available under Edelweiss Long Term Equity Fund (Tax Savings)															ı							
	Dividend Sweep to Scheme																						
	Installment Perio	d : From Da	ite D	D N	M M	Y	′ Y	Υ													_		1
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	1st Installment Cheque Details : Cheque / DD No. Amount (₹)															1							
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	Photo ID Proof n	umber in ca												nt				3rd Ar	plica	ant			1
	Photo ID Proof number in case of Micro SIP of 1st Applicant 2nd Applicant 3rd Applicant    I/We hereby authorize Edelweiss Mutual Fund and their authorized service providers to debit my/our following bank account by NACH clearing / Auto Debit for collection of SIP Payments.  Note: Please allow 1 month Auto Debit to register and start																						
	Frequency Detai	ls [Please ✓		alala C	'ID			Faul.	.:	. CID			B./	ماخميما	l CID						l CID		
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	SIP Top-up (Option				this fac	cility) 1	Гор-и			_										·	les of ₹50		
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4	DECLARATION AND SIGNATURE (To be signed by ALL UNIT HOLDERS if mode of holding is 'joint')*  DATE:/ PLACE:															II y of							
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## Instructions

- 1. UMRN is auto generated during mandate creation and is mandatory to be updated during amendment and cancellation of mandate. (Maximum length 20 Alpha Numeric Characters).
- 2. Date in DD/MM/YYYY format.
- Sponsor Bank IFSC / MICR code, le padded with zeroes where necessary (Maximum length – 11 Alpha Numeric Characters).
- 4. Utility Code of the Service Provider (Maximum length 18 Alpha Numeric Characters).
- 5. Name of the entity to whom the mandate is being given
- 6. Tick on box to select type of actions to be initiated.
- 7. Tick on box to select type of actions to be affected.
- 8. Customer's legal account number, le pad
- 9. IFSC / MICR code of customer bank. (Maximum length 11 Alpha Numeric Characters).
- 10. Amount payable for service or maximum amount per transaction that could be processed, in words.
- 11. Amount in figures, similar to the amount mentioned in words (Maximum length 13 digit Numeric, in paise).
- 12. Tick on box to select frequency of transaction.
- 13. Validity of mandate with dated in DD/MM/YYYY format.
- 14. Names of customer/s and signatures as well as seal of Company (where required).
- $15. \ \ \, \text{Telephone no. with STD code of customer.}$
- 16. Email ID of customer.









## **TERMS AND CONDITIONS**

- 1. Please refer to the Key Information Memorandum (KIM), Statement of Additional Information (SAI) and Scheme Information Document (SID) for Applicable NAV, Risk Factors, Load and other information of the Scheme / Plan before investing.
- 2. Complete Application form and SIP Enrollment Form along with the first cheque should be submitted to the AMC / Karvy ISC's.
- 3. Investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for NACH / Auto Debit. Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which NACH / Auto Debit is to be registered.
- 4. First SIP cheque and subsequent SIP installments via NACH / Auto Debit should be of the same amount.
- 5. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
- 6. Incorrect / Incomplete applications are liable to be rejected.
- 7. Edelweiss AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.
- 8. NACH / Auto Debit instructions will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID)
- 9. The mandate registration form will be submitted through National Automated Clearing House (NACH) and Banks participating in Direct Debit Facility. This facility is offered to investors having Bank accounts in select banks mentioned in the link under Product and Services tab The Banks http://www.npci.org.in/ in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation
- 10. Auto Debit facility will be offered only to investors having bank account with following banks: Allahabad Bank, Axis Bank, Bank of Baroda, Bank of India, CITI Bank, Corporation Bank, Federal Bank, ICICI Bank, Kotak Mahindra Bank, Punjab National Bank, South Indian Bank, State bank Of Patiala, UCO Bank, Union Bank of India, United Bank of India & State Bank of India
- 11. Incase investors bank account is available under NACH as well Auto Debit Facility, registration will be done through NACH platform.
- 12. If any city, bank/branch is removed, SIP form NACH / Auto Debit instructions for investors in such cities, bank/branch route will be discontinued without prior notice.
- 13. Existing Unit holders in Scheme(s) of Edelweiss Mutual Fund are required to submit only the SIP NACH / Auto Debit Mandate. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through NACH / Auto Debit, should fill the Common Application Form & SIP NACH / Auto Debit Mandate.
- 15. Payments will be accepted by NACH / Auto Debit mode. For this purpose, investors/unit holders are required to give NACH / Auto Debit Mandate Form to debit their bank accounts at periodic intervals& credit the subscription proceeds to Edelweiss Mutual Fund Bank Account.
- 16. Returned/Dishonored cheque / NACH / Auto Debit Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/registration will be rejected.
- 17. The SIP Enrollment will be discontinued in cases where three consecutive SIP installment are not honored or the bank account is closed and no request for change in bank account has been submitted.
- 18. If investor has not provided the SIP frequency/period/date, the default SIP frequency would be Monthly & the SIP installments would be the minimum number of installments of the respective Schemes as specified in the Scheme Information Document. The default date will be considered as 7th of the month for Monthly option.
- 19. Additional SIP: If an investor wants to enroll in another Scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP NACH/ Auto Debit Mandate along with the first cheque (if investment is in the new Scheme).
- 20. Top-up: After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed SIP NACH/ Auto Debit Mandate.
- 21. Investor opting for Top-Up facility should mention maximum amount according to the duration of SIP on the NACH / Auto Debit mandate
- $22. \ \ You can choose to discontinue this facility by giving 10 days written notice to any of AMC/Registrar Investor Service centers.$
- 23. Request for change in bank mandate to be submitted at least 30 business days before the due date of next SIP installment.
- 24. The bank account provided for NACH (Debit) should be in the list of banks participating in NACH.
- $25. \quad MICR code \ or \ IFSC \ code \ should \ be \ mandator \ y \ filled \ on \ NACH \ mandate, \ MICR \ code \ starting \ and \ / \ or \ ending \ with \ 000 \ are \ not \ valid \ for \ NACH.$
- 26. The investor agrees to abide by the terms and conditions of NACH facility of NPCI & Auto Debit as applicable at the time of investment and as may be modified from time to time
- 27. The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned Scheme Information Document (SID). The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
- 28. Investors will not hold Edelweiss AMC, its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific sip date due to the local holidays or any other reason.
- $29. \quad Edel we is s\, AMC\, reserves\, the\, right\, to\, reject\, any\, application\, without\, assigning\, any\, reason\, thereof.$
- $30. \quad Please \, refer \, SID \, for \, minium \, SIP \, investment \, amount \, under \, each \, Schemes \, and \, constant \, and \, con$

## **TERMS AND CONDITIONS**

- 31. Please refer the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the respective Scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective Scheme before investing.
- 32. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
- 33. To avail of SIP in separate Schemes via NACH / Auto Debit facility, an investor will have to fill a separate form for each Scheme. A single form cannot be used for different Schemes simultaneously.
- 34. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant. For more details please refer point XI on "Instructions to Investor for Filling up the Application Form.
- 35. Instructions for Systematic Investment Plan (SIP) Top-up
- 1. SIP Top-up Facility is not available under Micro SIPs
- 2. SIP Top-up is a facility wherein an investor who wishes to enrol for SIP, has an option to increase the amount of the SIP installment by a fixed amount at pre-defined intervals i.e. half yearly and yearly. The SIP Top-up amount should be filled in the SIP Enrolment Form itself.
- 3. The SIP Top-up amount should be in multiples of ₹ 500/- only.
- 4. The SIP Top-up option is only available for monthly SIP.
- 5. In case the top-up frequency is not indicated under Monthly SIP, it will be considered as half yearly interval.
- $6. \quad \text{Top-up cap is the maximum amount beyond which the Top-up amount is capped.} \\$







