

# STeP FACILITY FORM

Please read Product Labelling available on the Front Inside Cover Page and instructions before filling this form (all points marked \* are mandatory)



Edelweiss Ideas create, values protect

MUTUAL FUND

APPLICATION NO. \_\_\_\_\_

Sponsor: Edelweiss Financial Services Limited | Trustee Company: Edelweiss Trusteeship Company Limited | Investment Manager: Edelweiss Asset Management Limited  
Edelweiss Mutual Fund, 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400098, Maharashtra.

Existing Investor

New Folio/Investor (please fill Separate form of Common Application with Step facility)

## 1 DISTRIBUTOR INFORMATION

Distributor Code	Sub-Broker Code	Sub-Broker Code	Employee Unique	E-Code	RIA CODE^
ARN - _____	ARN - _____	INTERNAL CODE	IDENTIFICATION NO. (EUIN)		ONLY FOR DIRECT INVESTMENT

\*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

^I/We, have invested in the below mentioned scheme of Edelweiss Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

### SIGNATURE (s)

SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT
------------------------	------------------	-----------------

All sections to be filled in English and in BLOCK LETTERS. All columns marked \* are mandatory.

## 2 APPLICANT'S PERSONAL DETAILS\* (For new folio please fill Common Application) Folio No. (For Existing Unit Holders)

Sole / 1st Unit Holder	_____																
PAN	_____	Date of Birth	D	D	M	M	Y	Y	Y	Y	Mobile No.	_____	_____	_____	_____	_____	_____

## 3 INVESTMENT DETAILS

Investment Details for STeP In Facility	Investment Details for STeP Out Facility
<b>Source Scheme</b> Edelweiss _____ Plan : <input type="checkbox"/> Regular <input type="checkbox"/> Direct Option : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Reinvestment	<b>Source Scheme</b> Edelweiss _____ Plan : <input type="checkbox"/> Regular <input type="checkbox"/> Direct Option : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Reinvestment
<b>Target Scheme</b> Edelweiss _____ Plan : <input type="checkbox"/> Regular <input type="checkbox"/> Direct Option : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Reinvestment	<b>Target Scheme</b> Edelweiss _____ Plan : <input type="checkbox"/> Regular <input type="checkbox"/> Direct Option : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Reinvestment
<b>Investment / Opted Amount</b> _____ (Minimum investment amount is ₹ 1 Lakh )	<b>Investment / Opted Amount</b> _____ (Minimum investment amount is ₹ 1 Lakh )
<b>Triggers:</b> <input type="checkbox"/> 2% OR <input type="checkbox"/> 3% (Fall in % of NAV)	<b>Trigger Frequency :</b> <input type="checkbox"/> Single OR <input type="checkbox"/> Perpetual
<b>Choose Plan :</b> <input type="checkbox"/> 6 Months OR <input type="checkbox"/> 12 Months (16% of invt amt per month) (7.25% of invt amt per month)	<b>Triggers For Resident Individual :</b> (Select any one) <input type="checkbox"/> 5% <input type="checkbox"/> 10% <input type="checkbox"/> 25% <input type="checkbox"/> 50% <input type="checkbox"/> 75% <input type="checkbox"/> 100%
	<b>Triggers For Non-Resident Individual :</b> (Select any one) <input type="checkbox"/> 10% <input type="checkbox"/> 25% <input type="checkbox"/> 50% <input type="checkbox"/> 75% <input type="checkbox"/> 100%

## 4 DECLARATION

I/We have read and understood the contents of the Statement of Additional Information (SAI) & respective Scheme Information on Document (SID) and Key Information on Memorandum (KIM), and Addendums. I/We agree to abide by the terms, conditions, rules & regulations of the scheme(s) as applicable from me to me. Amount invested/to be invested in the Schemes is derived through legitimate sources. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the scheme is being recommended to me/us.

### SIGNATURE (s)

SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT
------------------------	------------------	-----------------

DATE : \_\_\_/\_\_\_/\_\_\_ PLACE : \_\_\_\_\_



MUTUAL FUND

## ACKNOWLEDGEMENT SLIP

To be filled in by the investor

Investor Name \_\_\_\_\_ Folio Number \_\_\_\_\_

Facility opted  Step in OR  Step Out Investment / Opted Amount \_\_\_\_\_

From Scheme \_\_\_\_\_

To Scheme \_\_\_\_\_

Collection Center's Stamp & Receipt Date and Time

## TERMS AND CONDITIONS

### STEP-IN FACILITY

- About the facility: Under Step-in facility, Investor can spread their investments in a staggered manner over next 6 months or 12 months period to whether from market volatility. At the time of application, 20% of the investment amount will be invested upfront in target Scheme and remaining 80% will be invested in the source scheme from where the triggers will be activated to switch investments from source scheme to target scheme over the selected tenure.
  - Under 6 months trigger option 16% of the initial investment amount will be switched over next 5 tranches each on every 2%/3% fall in the target scheme's NAV.
  - Under 12 months trigger plan 7.25% of the initial investment amount will be switched for further 11 tranches each on every 2%/3% fall in the target scheme's NAV.
  - The first trigger after initial investment will start from next month after the registration of the facility.
  - In case the fall trigger doesn't occur, switch will happen on 2nd last business day of that particular month. Under this scenario fall % for next trigger will be calculated from previous trigger NAV or initial investment NAV whichever applicable.
  - Eligible source schemes are Edelweiss overnight Fund, Edelweiss Liquid Fund, Edelweiss Arbitrage Fund, Edelweiss Equity Savings Fund and Edelweiss Dynamic Bond Fund.
  - Eligible Target schemes are All Equity Oriented Schemes of Edelweiss Mutual Fund except Exchange Traded Funds.
  - Minimum investment Amount to register for this facility is Rs. 1 Lakh and in multiples of Re.1.
  - Additional Purchase under the source scheme will be allowed during the facility.
  - Redemption, Switch, SWP, STP, Power STP is allowed for the source scheme as long as there is sufficient balance. In case the value is less than the defined amount for trigger, the available balance will shift to target scheme and the facility will terminate.
  - Power SIP is cannot be clubbed with this facility.
  - In case of Switch of DEMAT, Consolidation, Transmission the facility will be discontinued.
  - To register for this facility the cheque should be in the name of – "Edelweiss Step Facility".
  - NAV applicability of the Source and Target Schemes will be as per the provision mentioned in respective Scheme Information Document , i.e. for less than 2 lacs on transaction date and for 2 lacs and above on basis of credit realization.
  - **New investor:** Investment value will be as per the cheque amount.  
Example: Cheque amount ₹ 1,50,000, ₹ 1,20,000 will be allocated in source scheme & ₹ 30,000 in target scheme.
  - **Existing investor:** Can give the folio details and select the investment amount from the existing investment value.  
For such existing investor, 20% will get immediately switch to target scheme (equity) on the data of request and 5/11 triggers as per the plan will be set from next month onwards. Example: Available balance in the source scheme (liquid/debt) ₹ 2,50,000, Investment value requested ₹ 2,00,000 in the purchase application. Allocation will be only in Equity scheme i.e. ₹ 40,000 will be moved to target scheme (equity).
- Note:** The NAV will be considered basis time stamp on the request form for existing investors.

### STEP-OUT FACILITY

- Step-out facility enables investors to switch out investments from particular scheme in order to book profits. Under this facility investor can select predefined trigger % gains in NAV on which the gains will switched out from source scheme to target scheme.
  - Switch can be triggered each time the NAV appreciate ranging from 5%/10%/25%/50%/75%/100%. (only one option can be selected).
  - Note: For NRI investors the triggers available will be 10%/25%/50%/75%/100%.
  - The base NAV used for this facility will be the NAV of registration date. Subsequent triggers will happen on basis of last trigger NAV.
  - The % of value to be switched will be calculated based on fund value at the time of registration.
  - Eligible source schemes are all equity schemes of Edelweiss Mutual Fund except Edelweiss Long Term Equity Fund (Tax Saving) and ETFs.
  - Eligible Target schemes are all fixed income schemes including Edelweiss Overnight Fund, Edelweiss Liquid Fund, Edelweiss Arbitrage Fund, Edelweiss Equity Savings Fund and Edelweiss Bharat Bond – FoFs.
  - Minimum Investment Amount to register for this facility is Rs. 1 Lakh and in multiples of Re.1 thereof.
  - Investors can select one time or perpetual frequency to switch.
  - Additional Purchase, SIP, Redemption, Switch, SWP, SIP, Power SIP/STP are allowed under the source scheme.
  - Switch to DEMAT, Consolidation and Transmission will lead to discontinuation of the facility.
  - **New investor:** Investment value/base fund for switch will be as per the cheque amount.
  - **Existing investor:** Can give the folio details and select the investment amount from the existing investment value.
  - **Example: 1**
    - Available balance in the source scheme ₹ 2,50,000.
    - Investor opted for switch amount is ₹ 2,00,000.
    - Switch to be initiated on NAV appreciation of 5% from the NAV at the time of registration.
    - ₹ 10,000 (5% on 2,00,000) will be switched to the target debt scheme.
  - **Example: 2**
    - Available balance in the source scheme ₹ 2,50,000.
    - Investor opted for switch amount is ₹ 2,50,000.
    - Redemption made for ₹ 1,00,000 and existing balance is ₹ 1,50,000.
    - Switch to be initiated on NAV appreciation of 5% from the NAV at the time of registration.
    - ₹ 12,500 (5% on 2,50,000) will be switched to the target debt scheme . The % of switch will be on initial investment amount i.e. ₹ 2,50,000 and not on the available balance i.e. ₹ 1,50,000.
- Note:** The NAV will be considered basis time stamp on the request form for existing investors.



TOLL FREE  
1800 425 0090



NON TOLL FREE  
+91 40 23001181



SMS  
IQ to 5757590



WEBSITE  
www.edelweissmf.com



EMAIL : INVESTORS  
emfhelp@edelweissfin.com

**Mutual Fund Investment are subject to market risks, read all scheme related documents carefully.**